

**14B NCAC 16 .1707      ENFORCEMENT**

A violation of this Chapter or G.S. Chapter 74C by the Associate may be deemed by the Board to be a violation by the sponsor if the violation is found to be the result of a failure to supervise which resulted in the violation and may subject the sponsor to an enforcement action pursuant to G.S. 74C-12(a) or G.S. 74C-17(c).

*History Note:      Authority G.S. 74C-2(c); 74C-5(2); 74C-12;  
Temporary Adoption Eff. April 28, 2023;  
Eff. November 1, 2023.*